

**F.No. NA-15/24/2020-NA**  
**Government of India**  
**Ministry of Environment, Forest & Climate Change**  
**(National Authority)**  
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Hall No.1, 4<sup>th</sup> Floor  
Block No. 3, CGO Complex  
Lodhi Road, New Delhi-110003  
Dated the 24<sup>th</sup> May, 2021

To,

The Principal Secretary,  
Department of Forest,  
Government of Uttar Pradesh  
Lucknow

**Sub: Annual Plan of Operation for the financial year 2021-22 of Uttar Pradesh State CAMPA.**

Sir/Madam,

I am directed to refer to letter No. 1245/2-37-2 dated 06.04.2021 of Government of Uttar Pradesh on the above mentioned subject and convey the approval of the Executive Committee of the National Authority under Section 15 (1) (i) of the Compensatory Afforestation Fund (CAF) Act, 2016 for the mandatory and time bound activities of the Annual Plan of Operation – 2021 at a total cost of **Rs.416.19 crore (Rupees Four hundred sixteen crore and nineteen lakhs only)** out of proposed outlay of Rs.600.00 crore recommended by the State Steering Committee the current financial year from the “State Compensatory Afforestation Fund – Uttar Pradesh” created under Section 4 of CAF Act, 2016.

2. The APO was discussed in the 12<sup>th</sup> meeting of the Executive Committee of the National Authority held on 27<sup>th</sup> April, 2021 and the component wise approval for carrying out essential plantation activities is as under:

S.No.	Activity	Physical Target (Area in Ha)	Financial Target (Rs. in Cr)	Remarks
1.	<b>Site Specific Activities as per Section 6(a) of the CAF Act, 2016</b>			
a	<b>Compensatory Afforestation (CA)</b>			
	Compensatory Afforestation during 2021 rains	1023.29	14.14	
	Advance Soil Work for planting 2022 rains	1559.35	7.78	
	9 <sup>th</sup> year maintenance of 2012 plantation	847.46	14.05	
	8 <sup>th</sup> year maintenance of 2013 plantation	1652.72		
	7 <sup>th</sup> year maintenance of 2014 plantation	45.15		

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	6 <sup>th</sup> year maintenance of 2015 plantation	2370.89		
	5 <sup>th</sup> year maintenance of 2016 plantation	4605.23		
	4 <sup>th</sup> year maintenance of 2017 plantation	1899.79		
	3 <sup>th</sup> year maintenance of 2018 plantation	1036.19		
	2 <sup>nd</sup> year maintenance of 2019 plantation	682.71		
	1 <sup>st</sup> year maintenance of 2020 plantation	1070.08		
	<b>Sub-total CA</b>	<b>16792.86</b>	<b>35.97</b>	
b	<b>Catchment Area Treatment Plan</b>	126.25	2.19	
	<b>Sub-total - Site Specific Activities</b>	<b>16919.11</b>	<b>38.16</b>	
2.	<b>Activities as per Rule 5(2) of the CAF Rules 2018 (80%)</b>			
a	<b>ANR related works</b>	6123.00	7.11	
b	<b>Plantation under NPV</b>			
	Non-CA plantation year 2021	21782.45	60.25	
	<b>Advance soil work for Non-CA plantation year 2022</b>			
	(i) Under forestry Interventions for Yamuna in Uttar Pradesh	2982.00	19.68	
	(ii) Others: Soil works for Non-CA plantation	38160.00	150.56	
	2 <sup>nd</sup> year maintenance of 2019 Non-CA plantation	14265.82	45.90	
	1 <sup>st</sup> year maintenance of 2020 Non-CA plantation	11574.86		
	<b>Sub-total</b>	<b>94888.13</b>	<b>283.5</b>	
c	<b>Protection of Plantations and Forests: Silvicultural operations in forests</b>		20.00	
d	<b>Soil and moisture conservation works</b>			
	(i) Under forestry Interventions for Yamuna in Uttar Pradesh	3176.52	10.25	
	(ii) Soil and moisture conservation works in others regions.		37.71	
	<b>Sub-total</b>		<b>67.97</b>	
	<b>Treatment of degraded forest watersheds as per DPR prepared by using LIDAR technology</b>	<b>6046</b>	<b>13.69</b>	
3.	<b>Activities as per Rule 5 (3) of the CAF Rules, 2018 (20%)</b>			
a	<b>Establishment, up-gradation and Maintenance of Modern Nurseries and other planting stock production facilities for production of quality planting material</b>			
	Installation of Solar Water Pumps in important nurseries of various division for up- gradation of these nurseries		2.00	

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	b	Forest fire prevention and control operations (Fire protection/management equipments)		1.00	
	g	Monitoring and Evaluation		1.44	
		<b>Sub-total</b>		<b>4.44</b>	
<b>4.</b>		<b>Activities as per Rule 6(a) of the CAF Rules, 2018 (60%)</b>			
		Disbursement of Salary and allowances of members and staffs (contractual) of the State Authority and sitting fees and allowances to nominated members of the State Authority		3.47	
		Expenses on medical treatment, Maintenance of computer and purchasing related stationary Expenditures on travel for trainings and other relevant expenses and allowances		2.43	
		<b>Sub-total</b>		<b>5.9</b>	
<b>5.</b>		<b>Activities as per Rule 6(b) of the CAF Rules, 2018 (40%)</b>			
	a	Fund for management of office establishment, office equipment, office furniture & equipments hiring of staff cars and other contingencies.		2.53	
		<b>Sub-total</b>		<b>2.53</b>	
		<b>Total Interest</b>		<b>8.43</b>	
		<b>Grand Total (Site Specific+NPV+Interest)</b>		<b>416.19</b>	

3. The approval is subject to the following conditions:


- i. Utilization of State Compensatory Afforestation Fund (CAF) shall be done in such a manner that at least 80 per cent is used for afforestation forest development and wildlife habitat improvement and maximum 20 per cent be used for infrastructure/capacity building related items. The Hon'ble Minister, Environment, Forest and Climate Change, Government of India & Chairman of the National Authority letter no NA-1/47/2020-NA dated 22.10.2020 addressed to Hon'ble CM of State Governments/Lt. Governors of UT Administration.
- ii. KML files of afforestation and other major works and their periodic photos (before start of the work and after the completion of the work on an annual basis) shall be uploaded on E-Green Watch portal.
- iii. Casual engagement of local people or labours to assist regular staff of State forest department for works for protection of forest and wildlife undertaken from State fund as per rule 5(3)(f) is subject to the limit of 25% of total cost under the component. These will be standalone and monitorable items of work, and there shall be no mixing of funding for the same work.



- iv. Monitoring and evaluation of the works undertaken shall be the highest priority for the State Authorities, and the EC and the Steering Committee of all the State Authorities shall take adequate measures in this regard.
- v. For third party monitoring state should engage agency who are independently working and it should not be local NGOs/ organisations which is attached/ working with the forest departments.
- vi. The monitoring and evaluation report of the works done upto the year 2020-21 be ready by September, 2021, and similarly report on activities taken up in 2021-22 must be made available in time by September 2022.
- vii. It is to be noted that from now on, a third party (independent) Monitoring Report of major works undertaken in the previous year shall be submitted along with other necessary documents for consideration of APO by the EC of NA.
- viii. A statement that the Annual Report and the Audit Report together with a memorandum of action taken on recommendations contained therein has been laid in each house of the State legislature as per requirements of section 29 of the CAF Act 2016, shall be invariably submitted with the proposal for approval of the APO by the EC of NA.
- ix. Prior to implementation of approved APOs the EC of the State Authority shall ensure that a certificate is available with them to the effect that the proposed activities are being taken up after due consultation with Gram Sabha and are as per provisions of FRA 2006; activities proposed in forest areas are in consonance with approved working plan; and activities proposed in protected areas (PAs) are in consonance with approved management plan.
- x. The State EC will also ensure that there is no overlapping of activities / funding with other schemes and may provide a certificate to that effect.
- xi. Local species, especially fruit and fodder species, has to be given preference in afforestation/ regeneration to be carried out; monoculture should not be undertaken, and planting of exotic species shall be avoided.
- xii. Compensating the loss of ecological services due to forest diversion for non-forestry purposes is the main objective of Compensatory Afforestation Fund. Regeneration and development of forests should, therefore, be given priority. Also, priority should be accorded to labour intensive activities for regeneration and development of forests.
- xiii. State Forest Fire Action Plan proposed in the Supplementary APOS/APOS shall be a "standalone" activity.
- xiv. The approved activities shall be carried out as per Rule 4 of the Compensatory Afforestation Fund (CAF) Rules, 2018 i.e. as per the provisions of the State Financial Rules or any such rules applicable from time to time in the State.
- xv. The Executive Committee of the State shall also ensure that proposed activities have adequate forward and backward linkages and are being taken up as per prevailing approved



- minimum wage rate, schedule of rates, model estimates etc. In future, the APOS that are sent to the National Authority for approval must be accompanied with rationale of activities proposed, information on approved minimum wage rate, schedule of rates, model estimates etc.
- xvi. There should not be any reduction in allocation for forestry sector in the regular State Budget due to flow of CAMPA funds to the states. In addition, the State Government shall also make timely and adequate budget provision as per approved APO and release money so that approved activities could be implemented in time.
- xvii. Works related to Eco-tourism and Eco-development are permissible only as per approved site-specific schemes.
- xviii. Soil and moisture conservation work shall be carried out in an integrated manner under watershed approach from "ridge to valley only in degraded forests. This shall focus on assisted natural regeneration and supplemented by artificial seeding with quantifiable monitoring parameters. Construction of Dums, Stop Dam and ponds and their deepening are to be taken up only if they are a part of an integrated soil and moisture conservation plan of the catchment area: Since this component (SWC for regeneration of forests) is a very large work, the efficacy of the design and the activities shall be concurrently monitored by a reputed independent agency. Actions will be, accordingly, taken during the lifetime of each project. The overall impact on improved forest regeneration shall be shared with NAEB (MoEF&CC) on an annual basis.
- xix. The proposed activities in the APO need to be rearranged at some places as per sub- rules of rule 5 and 6 of CAF Rules, 2018, wherever found inappropriate. The State Authority shall make necessary changes in the APO accordingly with the permission of the State Executive Authority.
- xx. Purchase of vehicles shall be avoided. Instead, hiring of vehicles shall be the first option. Repair/ maintenance of vehicles shall be limited to the vehicles purchased from the CAMPA funds previously.
- xxi. 'Measurable output' of all physical activities and targets of each permissible activity along with period required for achieving the measurable output shall be monitored by the State Executive Committee and State Steering Committee.
- xxii. The validity of APO (2021-22) shall expire on 31.03.2022. Further continuation of the incomplete activities shall be permissible only if they are included in the APO (2022-23) and are approved.
- xxiii. The final approval of APO (2021-22) shall be considered only after the State Government/UT Administration submits a compliance report as per the guidelines regarding utilization of unspent balance of the Ad-hoc CAMPA issued vide letter no. NA-15/1/2020-NA dated 15.07.2020 of Ministry of Environment, Forest and Climate Change.

Yours faithfully  
  
(Anand Kumar Prabhakar)  
Dy. Chief Executive Officer

(आनन्द कुमार प्रभाकर/A. K. PRABHAKAR)  
उप वन महानिरीक्षक  
Deputy Inspector General of Forest  
पर्यावरण, वन एवं जलवायु परियोजना मंत्रालय  
Ministry of Environment, Forest and Climate Change  
भारत सरकार, नई दिल्ली  
Govt. of India, New Delhi

**Copy to:**

1. Principal Chief Conservator of Forests (HoFF), Department of Forests, Government of Uttar Pradesh, Lucknow;
2. Chief Executive Officer, Uttar Pradesh CAMPA, Lucknow;
3. Principal Secretary, Department of Finance, Government of Uttar Pradesh, Lucknow;
4. Secretary, Department of Planning, Government of Uttar Pradesh, Lucknow;
5. Accountant General, Uttar Pradesh, Lucknow;

**Copy also forwarded to:**

1. Director General of Forests & Special Secretary, Ministry of Environment, Forest and Climate Change, New Delhi
2. Special Secretary & Financial Adviser, Ministry of Environment, Forest and Climate Change, Government of India, New Delhi
3. Chief Executive Officer (National Authority), Ministry of Environment, Forest and Climate Change, New Delhi
4. ADG FC/WL/NTCA, Ministry of Environment, Forest and Climate Change, New Delhi
5. Mission Director, National Mission for Green India, Ministry of Environment, Forest and Climate Change, Government of India, New Delhi
6. Deputy Director General of Forests, Integrated Regional Office, Ministry of Environment, Forest and Climate Change (All)
7. IGF FC/WL/FPD/SU/PE/FP/NAEB/NTCA, Ministry of Environment, Forest and Climate Change, New Delhi
8. Principal Director (Audit), Scientific Department, AGCR Building, New Delhi
9. IFD/Sanction Folder/ Guard File.
10. NIC Cell with a request to upload the sanction order on e-Greenwatch.



**(Anand Kumar Prabhakar)**  
**Dy. Chief Executive Officer**

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